IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO 218889/0150

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Applicant:

Hiroyasu KOIZUMI et al.

Title:

HEAT EXCHANGER CORE, AND METHOD OF ASSEMBLING THE

HEAT EXCHANGER CORE

Appl. No.:

09/461,211

Filing Date:

12/15/1999

Examiner:

Unassigned

Art Unit:

3743

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a <u>prima facie</u> prior art reference against the claims of the present application.

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TECHNOLOGY CENTER 3700

Appl. No. 61,211

CONCISE EXPLANATION OF RELEVANCE OF EACH DOCUMENT

Documents A3 through A12 came to Applicants' attention during a search of the corresponding European application. A copy of the European search report setting forth the portion of each reference considered relevant by the examiner is attached.

Document A1 relates to Document A6 and Document A2 relates to Document A7.

English translations of the foreign documents are not readily available; however, English language abstracts for Documents A8 through A12 are attached herewith. The absence of such translations does not relieve the PTO from its duty to consider the submitted documents (37 CFR §1.98 and MPEP §609).

STATEMENT

The undersigned hereby certifies in accordance with 37 CFR 1.97(e) (1) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

Applicants respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

<u>July 6, 2000</u> Date

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